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NEWS RELEASE

March 10, 2017

Trial Court Rules in Cupertino's Favor, Upholding Rejection of Petition Sections for North DeAnza Gateway Initiative

CUPERTINO, CA – On Friday, March 3, 2017 the trial court ruled in favor of the City of Cupertino (“City”) by denying the Petition for Writ of Mandate filed in Reed Sparks, Ruby Elbogen, and William Hausmen (“Petitioners”) v. Grace Schmidt, et al., Santa Clara County Superior Court Case No. 16CV301471. Petitioners challenged the City Clerk's rejection of 2,048 petition sections relating to the North DeAnza Gateway Initiative (“Initiative”).

The Initiative proposed to amend the zoning and height restrictions in the City’s General Plan for construction of a hotel with 156 additional rooms at the property, which currently contains the 126-room Cupertino Inn and the Goodyear Auto Service Center.

The court found that the petition sections did not technically comply with Elections Code sections 9201 and 9203(b) because the City Attorney’s ballot title and summary was not included “above the text of the proposed measure” on the first page of each petition section.

The court concluded that this failure was a significant defect. The court stated that the title and summary “must be prominently included in the circulated petition to provide the voters whose signatures are sought with an accurate and objective petition” and “an accurate and objective description of the general matter of the initiative and its main points.” “Primarily ... (this) ... reduces the risk that voters will be misled ... by making available to them a neutral explanation of the measure.”

In denying the petition, the court concluded that Petitioners did not simply omit the City Attorney’s neutral explanation of the Initiative on the first page of each petition section. Instead, Petitioners included their arguments in support of the measure, which were clearly not neutral.

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FILED

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Clerk of the Court
Superior Court of CA County of Santa Clara
BY Ingrid Stewart DEPUTY
Ingrid Stewart

**SUPERIOR COURT OF CALIFORNIA
COUNTY OF SANTA CLARA**

REED SPARKS, et al.,

Petitioners,

vs.

GRACE SCHMIDT,

Respondent.

Case No. 16-CV-301471

ORDER RE: PETITION FOR WRIT OF
MANDATE

The petition for writ of mandate by Reed Sparks, Ruby Elbogen, and William Hausman came on for hearing before the Honorable Peter H. Kirwan on February 27, 2017, at 10:00 a.m. in Department 19. The matter having been submitted, the Court finds and orders as follows:

I. Background

This mandamus action arises out of the rejection of an initiative petition related to the development of the North De Anza Gateway area of Cupertino, California. Petitioners Reed Sparks, Ruby Elbogen, and William Hausman (“Petitioners”) proposed the North De Anza Gateway initiative measure (hereinafter, the “Initiative”) to amend the zoning and height restrictions in Cupertino’s General Plan for construction of a boutique hotel. (Petition for Writ of Mandate (“PWM”), Exh. A.) The City Clerk of Cupertino, Grace Schmidt (“Respondent”),

1 rejected the petition they circulated in support of the Initiative because it did not comply with
2 statutory formatting requirements. Petitioners commenced this action to compel Respondent to
3 accept their initiative petition and the signatures affixed thereto.

4 On April 6, 2016, Petitioners filed with Respondent the: (1) notice of intent to circulate
5 petition; (2) text of the Initiative; (3) proponents' certification; and (4) authorization of legal
6 counsel. (PWM, Exh. D.) On April 21, 2016, Petitioners received the official title and summary
7 of the Initiative prepared by the city attorney. (See PWM, ¶ 11; PWM, Exh. E.) Petitioners
8 published the official title and summary of the Initiative in the Cupertino Courier and sent proof
9 of publication to Respondent. (PWM, Exh. F.) Petitioners thereafter circulated the petition in
10 sections to obtain voter signatures and submitted 4 boxes of petition sections to Respondent on
11 October 4, 2016. (PWM, ¶ 14.)

12 Upon receipt of the petition sections, Respondent conducted a raw signature count.
13 (See Elec. Code, § 9210, subd. (b) [clerk first determines if minimum number of signatures
14 present before verifying signatures].) Respondent issued a "Receipt for Prima Facie Section and
15 Signature Count []" indicating she received 2,048 petition sections containing 5,266 signatures.
16 (PWM, Exh. B.) She represented, however, that she was receiving but not formally accepting
17 the petition sections pending review of compliance with statutory formatting requirements.
18 (PWM, Exh. B.) The very next day, Respondent rejected all 2,048 petition sections based on
19 noncompliance with statutory directives governing placement and formatting of the official title
20 and summary prepared by the city attorney. (PWM, Exh. C.)

21 Petitioners assert the petition sections technically and substantially comply with the
22 applicable statutory requirements. On this basis, they filed a verified petition for writ of mandate
23 to compel Respondent to accept their petition for filing. Respondent filed an opposition and
24 requests for judicial notice in support thereof.

25 **II. Requests for Judicial Notice**

26 Respondent filed initial and supplemental requests for judicial notice in support of her
27 opposition. "Judicial notice is the recognition and acceptance by the court [] of the existence of
28 a matter of law or fact that is relevant to an issue in the action without requiring formal proof of

1 the matter.” (*Unruh-Haxton v. Regents of the University of California* (2008) 162 Cal.App.4th
2 343, 364, internal quotation marks and citations omitted.)

3 **A. Initial Request for Judicial Notice**

4 First, Respondent requests judicial notice of initiative petitions for developments with no
5 connection to the present action. Respondent apparently presents these other petitions as
6 examples of petitions she previously accepted. These initiative petitions for unrelated
7 developments are not relevant here. Courts are not bound by the acts of local officials. (See,
8 e.g., *Auto Equity Sales, Inc. v. Superior Court* (1962) 57 Cal.2d 450, 455-56 [trial courts bound
9 by rulings of higher courts].) A clerk’s acceptance of unrelated petitions, in the absence of an
10 appellate court’s decision confirming the clerk properly accepted the petitions, does not
11 demonstrate whether the clerk correctly applied the Elections Code and formatting requirements
12 therein. In other words, the Court cannot simply rely on Respondent’s previous interpretations
13 and applications of the law. Accordingly, the unrelated petitions are not proper subjects of
14 judicial notice.

15 Second, Respondent requests judicial notice of the initiative text and preliminary
16 documents Petitioners filed in April 2016 prior to circulating their petition. A court need not
17 take judicial notice of documents unless they are necessary, relevant, or helpful. (See *Jordache*
18 *Enterprises, Inc. v. Brobeck, Phleger & Harrison* (1998) 18 Cal.4th 739, 748, fn. 6.) Petitioners
19 already filed these documents as exhibits to the petition for writ of mandate. Consequently, it is
20 not necessary or helpful to take judicial notice of these documents.

21 Third, Respondent requests judicial notice of several requests to withdraw signatures
22 from the petition in support of the Initiative. Respondent does not specifically address whether
23 these requests are proper subjects of judicial notice. Rather, Respondent states generically with
24 respect to the entirety of the initial request for judicial notice that “[a]ll of the above documents
25 are public records.” (Initial Request for Judicial Notice “Initial RJN” at p. 1:20.) Respondent
26 thereafter quotes Evidence Code section 452, subdivision (h), which authorizes a court to take
27 judicial notice of: “Facts and propositions that are not reasonably subject to dispute and are
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1 capable of immediate and accurate determination by resort to sources of reasonably indisputable
2 accuracy.” (See Initial RJN at p. 1:22-24.)

3 Evidence Code section 452 does not authorize a court to take judicial notice of
4 documents simply because they are public records. Rather, a court may take judicial notice of
5 “[o]fficial acts of the legislative, executive, and judicial departments of the United States and of
6 any state of the United States.” (Evid. Code, § 452, subd. (c).) There is “no authority and none
7 has been cited for the proposition that materials prepared by private parties and merely on file
8 with state agencies may be judicially noticed pursuant to [Evidence Code section 452,
9 subdivision (c).]” [Citations.]” (*Hughes v. Blue Cross of Northern California* (1989) 215
10 Cal.App.3d 832, 856, fn. 2.) These requests to withdraw signatures prepared by private
11 individuals and merely filed with Respondent therefore are not subject to judicial notice as
12 official acts.

13 While Respondent quotes Evidence Code section 452, subdivision (h), it is not especially
14 clear what fact or matter she asserts is undisputed. Presumably, these voter requests are being
15 offered to show voter confusion. Even so, a voter can simply withdraw his or her signature; the
16 voter need not be confused or state his or her reason for doing so. (See Elec. Code, §§ 103, 9602
17 [procedure for withdrawal of signature].) Accordingly, requests to withdraw signatures, without
18 more, do not indisputably demonstrate voters were confused. Only three of these voter requests
19 actually contain additional information reflecting a voter was “misled.” (Initial RJN, Exh. 6.) In
20 any event, these three voters did not clearly identify the specific source of confusion or attribute
21 confusion to the formatting of the petition sections Petitioners circulated. (Initial RJN, Exh. 6.)
22 Furthermore, Petitioners dispute whether the voters who submitted these requests even signed
23 the petition sections at issue because Respondent rejected them based on a purported facial
24 defect and never even began verifying signatures or requests to withdraw signatures. Thus,
25 while Respondent may be a reputable source, these requests to withdraw signatures and their
26 significance are in fact disputed.

27 Otherwise, while Respondent is correct that a court may consider evidence of voter
28 confusion in evaluating a petition for writ of mandate based on a purported formatting defect, she

1 cites no cases in which a court took judicial notice of requests to withdraw signatures. (See
2 Suppl. RJN at p. 5:17-26 [addressing Petitioners' objection], citing *Creighton v. Reviczky* (1985)
3 171 Cal.App.3d 1225, *Hayward Area Planning Assn. v. Superior Court* (1990) 218 Cal.App.3d
4 53.) For these reasons, the requests to withdraw signatures are not proper subjects of judicial
5 notice.

6 Finally, Respondent requests judicial notice of a blank petition section circulated in
7 support of the Initiative. Petitioners initially took issue with the format of the petition section
8 filed with the Court, arguing it was not a true and correct copy. Petitioners have since withdrawn
9 their objection. In any event, the blank petition section filed as Exhibit I to Respondent's initial
10 request for judicial notice is identical to that filed as Exhibit A to the petition for writ of
11 mandate. A court may decline to take judicial notice of documents that are not necessary,
12 relevant, or helpful. (See *Jordache Enterprises, Inc. v. Brobeck, Phleger & Harrison, supra*, 18
13 Cal.4th at p. 748, fn. 6.) There is no actual dispute between the parties as to the format and
14 contents of the petition sections circulated. Thus, it is neither necessary nor helpful to take
15 judicial notice of the blank petition section because it is a duplicate.

16 For these reasons, Respondent's initial request for judicial notice is DENIED.

17 **B. Supplemental Request for Judicial Notice**

18 Respondent asks the Court to take judicial notice of an order denying a petition for writ
19 of mandate to compel her to accept an initiative petition for a different retail development in
20 Cupertino. This unrelated order is not relevant to a material issue before the Court because the
21 other action involved distinct facts, legal issues, and arguments. Furthermore, "a written trial
22 court ruling has no precedential value." (*Santa Ana Hospital Medical Center v. Belshe* (1997) 56
23 Cal.App.4th 819, 831.) As the Honorable Mary E. Arand explained in this unrelated order when
24 denying a request for judicial notice of unrelated petitions, courts are only bound by higher
25 courts. (See *Auto Equity Sales, Inc. v. Superior Court, supra*, 57 Cal.2d at pp. 455-56.) Thus,
26 this unrelated order is neither binding nor persuasive. For these reasons, the unrelated order is
27 not a proper subject of judicial notice. Respondent's supplemental request for judicial notice is
28 therefore DENIED.

1 **III. Discussion**

2 A party may file a petition for writ of mandate compelling a local official to perform a
3 ministerial duty, which is “an act that a public officer is obligated to perform in a prescribed
4 manner required by law when a given state of facts exists.” (*Alliance for a Better Downtown*
5 *Millbrae v. Wade* (“*Millbrae*”) (2003) 108 Cal.App.4th 123, 128-29; see also Code Civ. Proc.,
6 § 1085 [authorizing petition for writ of mandate].) A court may issue a writ if there is: “(1) a
7 clear, present, ministerial duty on the part of the respondent and (2) a correlative clear, present,
8 and beneficial right in the petitioner to the performance of that duty.” (*Millbrae, supra*, 108
9 Cal.App.4th at p. 129.)

10 When a proponent submits signed petition sections for signature counting, a city clerk has
11 a ministerial duty to evaluate whether they comply with the formatting requirements set forth in
12 the Elections Code and accept or reject them in accordance therewith. (*Millbrae, supra*, 108
13 Cal.App.4th at p. 132.) In determining whether petition sections are code-compliant, a clerk may
14 not engage in a discretionary evaluation of evidence or consider extrinsic evidence. (*Id.* at
15 p. 134.) The clerk may only conduct a “straightforward comparison of the submitted petition
16 with clear statutory directives.” (*Ibid.*)

17 Here, Respondent reviewed the face of the petition sections, determined they did not
18 comply with the formatting requirements set forth in Elections Code sections 9201 and 9203, and
19 rejected them on this basis. Specifically, Respondent rejected the petition sections because
20 Petitioners did not (1) include the city attorney’s title and summary on the first page of each
21 petition section or (2) correctly reproduce and format the city attorney’s title and summary on the
22 signature page of each petition section.

23 Pursuant to Elections Code section 9201, a proponent may circulate an initiative petition
24 in sections so long as the sections comply with the statutory directives. One of these directives
25 states “the first page of each section shall contain the title of the petition and the text of the
26 measure.” (Elec. Code, § 9201.) “The person proposing the measure shall, prior to its
27 circulation, place upon each section of the petition, above the text of the proposed measure and
28 across the top of each page of the petition on which signatures are to appear, in roman boldface

1 type not smaller than 12 point, the ballot title prepared by the city attorney.” (Elec. Code,
2 § 9203, subd. (b).) Section 9203 of the Elections Code actually contains a sample heading and
3 instructs that, after the heading, the proponent must: “set forth the title and summary prepared by
4 the city attorney. This title and summary must also be printed across the top of each page of the
5 petition whereon signatures are to appear.”

6 As to the first defect identified by Respondent, there is no dispute Petitioners omitted the
7 city attorney’s title and summary from the first page of each petition section. Despite their
8 general position that the petition sections both technically and substantially comply, Petitioners
9 do not actually dispute Respondent’s assertion that the petition sections are technically
10 noncompliant in this regard. Consequently, the petition sections, which do not contain the title
11 and summary on the first page, do not technically comply with Elections Code sections 9201 and
12 9203.

13 Respondent also rejected the petition sections because Petitioners did not correctly
14 reproduce the city attorney’s title and summary on the signature page of each petition section.
15 Respondent concluded Petitioners did not fully comply with the requirement that the title and
16 summary be reprinted on the signature page because they did not clearly denominate the title and
17 summary, inaccurately reproduced the summary provided by the city attorney, and failed to print
18 the title and summary in boldface type.

19 First, Respondent argues Petitioners should have printed the words “Title:” and
20 “Summary:” before the actual title and summary. Respondent cites no authority establishing
21 these denominations must appear before the title and summary. The sample heading in section
22 9203 of the Elections Code does not include these denominations. Respondent also does not
23 demonstrate these terms are part and parcel of the title and summary. The notice from the city
24 attorney to Petitioners informing them of the official title and summary states, for example,
25 “**TITLE:** Initiative (1) amending Cupertino’s General Plan requirements for the North De Anza
26 Gateway” (PWM, Exh. E.) Given the actual contents of the title follows the colon, it is not
27 obvious how the denomination is a part of the title itself. (See, e.g., *Amador Valley Joint Union*
28 *High School Dist. v. State Bd. of Equalization* (1978) 22 Cal.3d 208, 242-44 [discussing

1 requirements for initiative titles].) Furthermore, Respondent’s reliance on *Hebard v. Bybee*
2 (1998) 65 Cal.App.4th 1331 is not persuasive because that case involved omission of the actual
3 contents of the title, specifically the phrase “of four acres,” and not simply a denomination used
4 in the city attorney’s correspondence informing the proponent of what the title and summary
5 were. This argument therefore lacks merit.

6 Second, while not substantively addressed in her opposition, Respondent also rejected the
7 petition sections because Petitioners included the word “and” in the city attorney’s summary.
8 Paragraph 2 of the summary contains a long list of the amendments to “the City’s Zoning Code”
9 that would be effectuated by the Initiative. (PWM, Exh. A.) Before the very last item in this list,
10 Petitioners included the word “and,” which does not appear in the city attorney’s summary.
11 (PWM, Exh. A.) Specifically, paragraph 2 of the summary as printed, which consists of
12 subdivisions a) and b), states: “iii) includes a parking requirement of one space per unit and
13 employee and a bicycle parking requirements of 5% more than auto parking; *and* b) change the
14 zoning for the Property to G (Gateway)[.]” (PWM, Exh. A, italics added.) Respondent does not
15 explain and it is not obvious how this extra “and” changes the meaning of the summary in any
16 way. Even so, the word “and” is, indeed, an addition to the city attorney’s summary. A
17 proponent must accurately reproduce the city attorney’s title and summary in each petition
18 section. (See *Hebard v. Bybee, supra*, 65 Cal.App.4th at pp. 1338-39.) Petitioners did not print
19 the exact summary as prepared by the city attorney. Petitioners do not argue to the contrary.
20 The petition sections therefore do not strictly comply with the statutory directives in this regard.

21 Finally, Respondent also rejected the petition sections because Petitioners did not print
22 the city attorney’s title in boldface type. As with the extra “and,” Respondent does not
23 substantively address this issue in her opposition. Petitioners do not dispute that the city
24 attorney’s title must be printed in boldface type or that the petition sections do not technically
25 comply with this requirement. (See Elec. Code, § 9203, subd. (b).) Consequently, the petition
26 sections do not technically comply with the statutory directives for this reason as well.

1 To summarize, the petition sections do not technically comply with the statutory
2 directives in Elections Code sections 9201 and 9203 because Petitioners omitted the city
3 attorney’s title and summary from the first page of each section, included an additional “and” in
4 the summary printed on the signature page, and failed to print the title and summary on the
5 signature page in boldface type. Nevertheless, Petitioners emphasize the petition sections should
6 still be accepted because they substantially comply with the content and formatting requirements.

7 In California, “the governing cases [] have recognized that an unreasonably literal or
8 inflexible application of constitutional or statutory requirements that fails to take into account the
9 purpose underlying the particular requirement at issue would be inconsistent with the
10 fundamental nature of the people’s constitutionally enshrined initiative power” (*Costa v.*
11 *Superior Court* (2006) 37 Cal.4th 986, 1013.) Thus, a measure may still be submitted to the
12 voters when there are “relatively minor defects that [] could not have affected the integrity of the
13 electoral process as a *realistic and practical* matter” (*Ibid.*, original italics.) That is,
14 “technical deficiencies in referendum and initiative petitions will not invalidate the petitions if
15 they are in ‘substantial compliance’ with statutory and constitutional requirements.” (*Assembly*
16 *v. Deukmejian* (1982) 30 Cal.3d 638, 652, quoting *California Teachers Assn. v. Collins* (“CTA”)
17 (1934) 1 Cal.2d 202, 204.)

18 The inclusion of the extra “and” in the summary and failure to print the city attorney’s
19 title in boldface type are clearly minor defects that could not have affected the integrity of the
20 electoral process under the circumstances. (See, e.g., *Costa v. Superior Court, supra*, 37 Cal.4th
21 at p. 1025 [even substantive differences are insignificant if they do not impact accuracy]; see also
22 *CTA, supra*, 1 Cal.2d at p. 204 [petition with incorrect font type and slight wording differences
23 substantially complied with statutory directives].) These minor discrepancies did not change the
24 meaning or accuracy of the title and summary in any way. Thus, these defects are not a basis for
25 concluding the petition sections do not substantially comply with the statutory directives.¹

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¹Respondent concedes the petition sections substantially comply with the statutory directives if considering the extra
“and” alone. (Resp. Mem. of Pts. & Auth. at p. 14, fn. 4.)

1 The complete omission of the city attorney’s title and summary from the front of the
2 petition, however, is a significant defect. The purpose of the statutory directives, including those
3 concerning placement of the title and summary, is to “give information to the electors who are
4 asked to sign the initiative petitions.” (*Costa v. Superior Court, supra*, 37 Cal.4th at p. 1013,
5 quoting *CTA, supra*, 1 Cal.2d at p. 204.) The title and summary “must be prominently included
6 in the circulated petition” to “provide the voters whose signatures are sought with an accurate
7 and objective description of the general subject matter of the initiative and its main points.”
8 (*Costa v. Superior Court, supra*, 37 Cal.4th at p. 1023; see also *Millbrae, supra*, 108 Cal.App.4th
9 at pp. 130-31 [“Primarily, it reduces the risk that voters will be misled when asked to sign a
10 petition to qualify a proposed measure of the ballot by making available to them a neutral
11 explanation of the measure.”]) Petitioners’ omission of this essential information from the front
12 of the petition and inclusion of it only on the signature page does not serve the purpose of
13 preventing voters from being misled because the placement did not allow voters to easily access
14 accurate and objective information about what they were being asked to sign.

15 Petitioners’ reliance on *Millbrae* to support a contrary conclusion is not persuasive. In
16 that case, the court did not conclude the petition sections substantially complied with the
17 statutory directives despite the omission of the city attorney’s title and summary from the first
18 page of each section. Rather, the court concluded the petition sections substantially complied
19 with the requirement that the title and summary be “reprinted” on the signature pages because
20 the proponent printed the title and summary on the front of each double-sided sheet of paper
21 bearing signature lines. (*Millbrae, supra*, 108 Cal.App.4th at pp. 130-31.)

22 Furthermore, as articulated by counsel for Respondent at the hearing on the petition for
23 writ of mandate, Petitioners did not simply omit the city attorney’s neutral explanation of the
24 measure from the front of each petition section. Instead, they included their arguments in
25 support of the Initiative, which clearly are not neutral. For example, on the first page of each
26 petition section where the title and summary should have appeared, Petitioners stated the
27 boutique hotel was the “best use” of the property in the North De Anza Gateway area. (PWM,
28 Exh. A.) Thus, under these circumstances, voters did not first receive neutral and objective

1 information to facilitate their understanding of the technical language of the full text of the
2 measure that followed. (See, e.g., Elec. Code, §§ 9201, 9203 [“[A]bove the text of the proposed
3 measure.”])

4 “Where the purpose of the statutory requirement is to give information to the public to
5 assist the voters in deciding whether to sign or oppose the petition, the substantial compliance
6 argument is often rejected and strict compliance held essential.” (*Ibarra v. City of Carson*
7 (1989) 214 Cal.App.3d 90, 99.) Moreover, a finding of substantial compliance under these
8 circumstances would require the Court to completely disregard the statutory directives, which is
9 not permissible. (*Id.* at pp. 98-99; accord *CTA, supra*, 1 Cal.2d at p. 204 [substantial compliance
10 permissible if it would not vitiate the requirement]; see also *Arnett v. Dal Cielo* (1996) 14
11 Cal.4th 4, 22 [courts should interpret statutes so as to avoid rendering language surplusage].)
12 Petitioners therefore do not demonstrate the petition sections, despite the omission of the title
13 and summary from the first page, substantially comply with the purpose and substance of the
14 statutory directives. Petitioners thus fail to demonstrate the petition sections technically or
15 substantially comply with the statutory directives such that Respondent had a ministerial duty to
16 accept them.

17 Separate and distinct from the arguments with respect to compliance with the statutory
18 directives, Petitioners also take issue with the manner in which Respondent initially accepted the
19 petition sections for review. They argue Respondent “must conduct her format review at the
20 very beginning of the [verification] process.” (Pet. Mem. of Pts. & Auth. at p. 4:19.) Petitioners
21 assert it was improper for Respondent to have given them a receipt with the raw signature count
22 while simultaneously stating she needed additional time to evaluate the petition sections for
23 compliance with the formatting requirements. (Pet. Mem. of Pts. & Auth. at p. 3:25.) In their
24 reply, they assert that Respondent somehow waived her opportunity to evaluate the format of the
25 petition sections once she conducted a raw signature count. They do not provide any authority
26 that actually supports this assertion. Although their argument is not especially clear, Petitioners
27 apparently take the position that the Court should issue a writ compelling Respondent to accept
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1 their petition for filing, irrespective of the formatting defects, because once she began counting
2 the signatures she had to accept the petition. This argument lacks merit for several reasons.

3 First, Petitioners do not actually cite any authority demonstrating Respondent’s review
4 procedure was improper. While Petitioners cite Elections Code section 9210, it simply states the
5 elections official must determine the number of registered voters and whether the minimum
6 number of signatures are affixed to the petition. This statute does not, on its face, restrict when
7 an elections official may review the petition for compliance with the statutory directives.
8 Petitioners do not explain how this statute somehow requires Respondent to instantly evaluate
9 the format of the petitions or relinquish her obligation to do so. Significantly, Respondent’s
10 “ministerial duty exists even when the procedural statute contains no express authorization to the
11 local elections official to enforce its provisions.” (*Millbrae, supra*, 108 Cal.App.4th at p. 123.)
12 Thus, while reviewing a petition for compliance with the statutory directives is not explicitly
13 listed in Elections Code section 9210 as one of the steps that must be taken during the signature
14 count process, an elections official still has an obligation to conduct this review. (*Ibid.*)

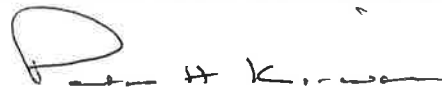
15 Second, Petitioners do not articulate how any initial defect in the review procedure gives
16 rise to a ministerial duty to accept the petition sections given they do not technically or
17 substantially comply with the statutory directives in the first instance. Elections Code section
18 9201 conditions the submission of a proposed ordinance to a legislative body through the
19 initiative petition process on compliance with the statutory procedures. (Elec. Code, § 9201
20 “[I]n the manner hereinafter prescribed.”) Petitioners do not demonstrate Respondent must
21 accept their petition for filing based solely on commencing the signature count when she is
22 otherwise required to reject a petition that “violate[s] one or more statutory procedural
23 requirements.” (*Millbrae, supra*, 108 Cal.App.4th at p. 123.) Thus, even if Petitioners had
24 demonstrated the order of review was improper, they fail to establish their petition could,
25 nevertheless, be accepted for filing given it does not comply with the statutory directives.

26 Finally, any assertion that Respondent somehow did in fact accept the petition for filing
27 such that she could not subsequently reject it lacks merit because the “Receipt for Prima Facie
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1 Section and Signature Count []” explicitly states she was not accepting the petition as she had
2 not completed her review. (PWM, Exh. B.)

3 In sum, Petitioners do not demonstrate Respondent’s review of the petition sections was
4 procedurally improper or that the raw signature count vested in them a right to have a
5 noncompliant petition accepted for filing. Petitioners’ argument that Respondent must accept
6 their petition irrespective of their noncompliance with the statutory directives therefore lacks
7 merit and is not a basis for granting the petition for writ of mandate. Based on the foregoing,
8 Petitioners fail to demonstrate Respondent had a ministerial duty to accept the petition sections,
9 which do not comply with the statutory directives in Elections Code sections 9201 and 9203.
10 The petition for writ of mandate is therefore DENIED.

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13 Date: 3/1/17

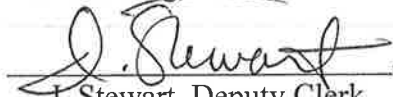


14 Peter H. Kirwan
15 Judge of the Superior Court
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SUPERIOR COURT OF CALIFORNIA COUNTY OF SANTA CLARA	FILED Date: March 1, 2017 REBECCA FLEMING Chief Executive Officer / Clerk Superior Court of CA County of Santa Clara
Plaintiff: REED SPARKS, et al	By:  I. STEWART, DEPUTY CLERK
Defendant: GRACE SCHMIDT	
PROOF OF SERVICE BY MAIL OF: ORDER RE: PETITION FOR WRIT OF MANDATE	Case Number: 16-CV301471

CLERK'S CERTIFICATE OF SERVICE: I certify that I am not a party to this case and that a true copy of this document was mailed first class, postage fully prepaid, in a sealed envelope addressed as shown below and the document was mailed at SAN JOSE, CALIFORNIA on : MARCH 1, 2017

Rebecca Fleming, Chief Executive Officer / Clerk

BY , Deputy
I. Stewart, Deputy Clerk

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